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PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission	15	Application Number	10/500,784
		Filing Date	Jan. 3, 2003
		First Named Inventor	Kang LI
		Art Unit	TO BE ASSIGNED
		Examiner Name	TO BE ASSIGNED
		Attorney Docket Number	TNX02-01 (case 0056)

## ENCLOSURES (Check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below): 1) Substitute CRF Sequence Listing 2) Declarations (5)
<div>Remarks</div>		

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	TANOX, INC.		
Signature			
Printed name	Cheryl A. Liljestrand		
Date	Nov. 3, 2004	Reg. No.	45,275

## CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature			
Typed or printed name	Angela Haffelder	Date	Nov. 3, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



#5

## PATENT

ATTORNEY DOCKET NO.: TNX02-01 Case 0056

Customer No.: 26839

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Sec. 371 Application of:**

Kang LI, et. al.

Application No. 10/500,784

Filed: January 3, 2003

For: HUMAN MAST CELL-EXPRESSED  
MEMBRANE PROTEINS

Attorney Docket: TNX02-01  
(Case 0056)

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

## RESPONSE TO NOTICE OF MISSING REQUIREMENTS

In response to the "Notice of Missing Requirements", Applicants hereby submit an executed declaration for each inventor.

Applicant also makes the following statement with regard to the Sequence Listing.

Applicants hereby submit a substitute CRF of the Sequence Listing for the CRF submitted with the International PCT Application. In compliance with the Sequence Listing requirements, I hereby state that the information recorded in computer readable form submitted herewith is identical to the written sequence listing submitted on January 3, 2003. No new matter has been added by this submission.

Please charge the \$65.00 surcharge to our deposit Account 20-0087 and any other fees are due in connection with this submission.

Respectfully Submitted,

DATE: Nov. 3, 2004

**BY:**

Cheryl A. Liljestrand  
Cheryl A. Liljestrand  
Reg. No. 45,275

Rec'd PCT/PTO 03 NOV 2004  
0056



# UNITED STATES PATENT AND TRADEMARK OFFICE

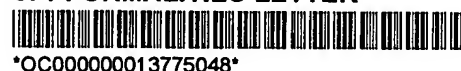
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U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/500,784	Kang Li	TNX02-01 (Case 0056)

Cheryl A Liljestrand  
Tanox  
10301 Stella Link Road  
Suite 101  
Houston, TX 77025-5497

INTERNATIONAL APPLICATION NO.	
PCT/US03/00307	
LA. FILING DATE	PRIORITY DATE
01/03/2003	01/03/2002

CONFIRMATION NO. 8464  
371 FORMALITIES LETTER



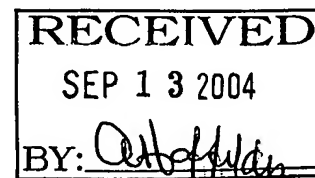
\*OC000000013775048\*

Date Mailed: 09/10/2004

## NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 07/02/2004
- Copy of the International Search Report filed on 07/02/2004
- Biochemical Sequence Listing filed on 07/02/2004
- Small Entity Statement filed on 07/02/2004
- U.S. Basic National Fees filed on 07/02/2004
- Assignment filed on 07/02/2004
- Priority Documents filed on 07/02/2004



The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$65 Surcharge for providing the oath or declaration later than 30 months from the priority date (37 CFR 1.492(e)) is required.

### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$65 for a Small Entity:

- \$65 Late oath or declaration Surcharge.

The following items **MUST** be furnished within the period set forth below:

- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):
  - A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e) and PCT Rule 13ter.1(a)(ii).
  - APPLICANT MUST PROVIDE:
    - An initial or substitute computer readable form (CRF) of the "Sequence Listing."
    - A statement that the contents of the paper or compact disc and the computer readable form are the same and, where applicable, include no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b) or 1.825(d).
- For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:
  - For Rules Interpretation, call (703) 308-4216
  - To Purchase PatentIn Software, call (703) 306-2600
  - For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

BARBARA A CAMPBELL

Telephone: (703) 305-3631

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/500,784	PCT/US03/00307	TNX02-01 (Case 0056)